

FORM NL-32-STATEMENT OF DOWN GRADED INVESTMENTS

Name of the Insurer: ECGC LTD.
Registration Number: 124
Statement as on: June 30, 2023
Statement of Down Graded Investments
Periodicity of Submission: Quarterly

| (Amount in Rs. Lakhs) | | | | | | | | | |
|-----------------------|--|------|--------|------------------|---------------|----------------|---------------|------------------------|---------|
| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of last Downgrade | Remarks |
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | NIL | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| 1 | 9.35% STEEL AUTHORITY OF INDIA LTD 2026 | EPBT | 5.00 | 20-Sep-11 | CARE | AA+ | AA | 5-Aug-2021 | |
| 2 | 9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020 | IORD | 10.00 | 02-Mar-10 | ICRA | AAA | D | 17-Sep-2018 | |
| 3 | 9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020 | IORD | 5.00 | 02-Jul-14 | ICRA | AAA | D | 17-Sep-2018 | |
| 4 | 9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022 | IORD | 10.00 | 31-Jan-12 | ICRA | AAA | D | 17-Sep-2018 | |
| 5 | 9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022 | IORD | 10.00 | 12-Jun-12 | ICRA | AAA | D | 17-Sep-2018 | |
| 6 | 9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020 | IORD | 5.00 | 31-Jan-13 | ICRA | AAA | D | 17-Sep-2018 | |
| 7 | 9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2019 | IORD | 10.00 | 13-Feb-14 | ICRA | AAA | D | 17-Sep-2018 | |
| 8 | 9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2021 | IORD | 10.00 | 20-Feb-14 | ICRA | AAA | D | 17-Sep-2018 | |
| 9 | 9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024 | IORD | 10.00 | 01-Aug-14 | ICRA | AAA | D | 17-Sep-2018 | |
| 10 | 9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024 | IORD | 10.00 | 20-Aug-14 | ICRA | AAA | D | 17-Sep-2018 | |
| 11 | 9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024 | IORD | 25.00 | 31-Dec-14 | ICRA | AAA | D | 17-Sep-2018 | |
| 12 | 8.94% CANFIN HOMES LTD 2024 | HTDN | 10.00 | 05-Mar-15 | India Rating | AAA | AA+ | 18-Dec-2019 | |
| 13 | 8.67% PUNJAB AND SIND BANK 03.12.2029 | ORAD | 20.00 | 25-Nov-19 | ICRA | AA | AA- | 23-Apr-2020 | |
| 14 | 8.80% THE ORIENTAL INSURANCE COMPANY LIMITED 2029 | EPBT | 20.00 | 19-Mar-19 | ICRA | AAA | AA+ | 1-Jan-2023 | |

Date of upload : August 2, 2023

Version 1.0

<https://www.ecgc.in>

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
- 5 Investment Regulations, as amended from time to time, to be referred