

FORM NL-32-STATEMENT OF DOWN GRADED INVESTMENTS

Name of the Insurer: ECGC LTD.

Registration Number: 124

Statement as on: September 30, 2021

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(Amount in Rs. Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	During the Quarter ¹								
	NIL								
B.	As on Date ²								
1	9.35% STEEL AUTHORITY OF INDIA LTD 2026	EPBT	500.00	20-Sep-11	CARE	AA+	AA	5-Aug-2021	
2	8.93% PNB 2022 (ERST WHIILE ORIENTAL BANK OF COMMERCE LIMITED)	EPBT	500.00	05-Dec-12	ICRA	AA+	AA	20-Nov-2020	
3	9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IOR	1000.00	02-Mar-10	CARE	AAA	D	17-Sep-2018	
4	9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IOR	500.00	02-Jul-14	CARE	AAA	D	17-Sep-2018	
5	9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICES. 2022	IOR	1000.00	31-Jan-12	CARE	AAA	D	17-Sep-2018	
6	9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022	IOR	1000.00	12-Jun-12	CARE	AAA	D	17-Sep-2018	
7	9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IOR	500.00	31-Jan-13	CARE	AAA	D	17-Sep-2018	
8	9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2019	IOR	1000.00	13-Feb-14	CARE	AAA	D	17-Sep-2018	
9	9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2021	IOR	1000.00	20-Feb-14	CARE	AAA	D	17-Sep-2018	
10	9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IOR	1000.00	01-Aug-14	CARE	AAA	D	17-Sep-2018	
11	9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IOR	1000.00	20-Aug-14	CARE	AAA	D	17-Sep-2018	
12	9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IOR	2500.00	31-Dec-14	CARE	AAA	D	17-Sep-2018	
13	9.90% YES BANK LIMITED 2022	ORAD	2500.00	25-Apr-13	CARE	AA+	BBB-	27-Aug-2020	
14	10.25% AMTEK AUTO LTD 2015	OLDB	500.00	23-May-13	ICRA	AA	D	20-May-2015	
15	8.94% CANFIN HOMES LTD 2024	HTDN	1000.00	05-Mar-15	India Rating	AAA	AA	18-Dec-2019	
16	7.89% CANFIN HOMES LTD 2022	HTDN	1500.00	29-Aug-17	India Rating	AAA	AA	18-Dec-2019	
17	8.67% PUNJAB AND SIND BANK 03.12.2029	ORAD	2000.00	25-Nov-19	CARE	AA	AA-	23-Apr-2020	
18	8.15% PUNJAB NATIONAL BANK 2029	EPBT	2500.00	30-Dec-19	ICRA	AA+	AA	20-Nov-2020	

Dated : November 25, 2021

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Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
- 5 Investment Regulations, as amended from time to time, to be referred