

## PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENTS

Company Name & Code: ECGC LTD (124)

Statement as on: June 30, 2019

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Face Value'Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade
A.	<u>During the Quarter</u> <sup>1</sup>							
1	8.94% CANFIN HOMES LTD 2024	HTDN	10	05-Mar-15	ICRA	AAA	AA+	6-May-2019
2	7.89% CANFIN HOMES LTD 2022	HTDN	15	29-Aug-17	ICRA	AAA	AA+	6-May-2019
3	8.90% IDFC FIRST BANK LIMITED 2019	ICTD	5	14-Jul-16	ICRA	AAA	AA	21-May-2019
4	8.65% IDFC FIRST BANK LIMITED 2020	ICTD	5	15-Dec-15	ICRA	AAA	AA	21-May-2019
5	8.63% IDFC FIRST BANK LIMITED 2020	EPBT	15	15-Dec-15	ICRA	AAA	AA	21-May-2019
6	10.30% YES BANK LIMITED 2021	ORAD	5	25-Jul-11	ICRA	AA+	AA-	3-May-2019
7	9.30% YES BANK LIMITED 2020	ORAD	5	09-Jan-13	ICRA	AA+	AA-	3-May-2019
8	9.65% YES BANK LIMITED 2020	ORAD	5	21-Nov-14	ICRA	AA+	AA-	3-May-2019
9	9.90% YES BANK LIMITED 2022	ORAD	25	25-Apr-13	ICRA	AA+	AA-	3-May-2019
	<u>As on Date</u>							
1	9.40% Tata Power Co. Ltd 2022	IODS	5.00	09-Apr-13	CRISIL	AA	AA-	17-Oct-2013
2	8.60% STEEL AUTHORITY OF INDIA LTD 2019	OBPT	10.00	03-Feb-10	CRISIL	AA+	AA-	2-Aug-2017
3	8.75% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	15.00	29-Apr-10	CRISIL	AA+	AA-	2-Aug-2017
4	8.72% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	10.00	30-Apr-10	CRISIL	AA+	AA-	2-Aug-2017
5	9.35% STEEL AUTHORITY OF INDIA LTD 2026	OBPT	5.00	20-Sep-11	CRISIL	AA+	AA-	2-Aug-2017
6	8.93% ORIENTAL BANK OF COMMERCE LIMITED 2022	OBPT	5.00	05-Dec-12	ICRA	AA	A+	14-Sep-2018
7	8.53% IDBI BANK LTD 2019	OBPT	10.00	23-Nov-09	ICRA	AA	A+	30-Aug-2018
8	9.60% HINDALCO INDUSTRIES LIMITED 2022	EPBT	5.00	05-Sep-12	CRISIL	AA+	AA	30-Jun-2017
9	9.55% HINDALCO INDUSTRIES LIMITED 2022	EPBT	5.00	30-Apr-12	CRISIL	AA+	AA	30-Jun-2017
10	9.15% TATA STEEL LIMITED 2021	EPBT	5.00	18-Apr-13	CARE	AA+	AA	18-Jan-2017
11	9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	10.00	02-Mar-10	CARE	AAA	D	17-Sep-2018
12	9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	5.00	02-Jul-14	CARE	AAA	D	17-Sep-2018
13	9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICES. 2022	IORD	10.00	31-Jan-12	CARE	AAA	D	17-Sep-2018
14	9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022	IORD	10.00	12-Jun-12	CARE	AAA	D	17-Sep-2018
15	9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	5.00	31-Jan-13	CARE	AAA	D	17-Sep-2018
16	9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2019	IORD	10.00	13-Feb-14	CARE	AAA	D	17-Sep-2018
17	9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2021	IORD	10.00	20-Feb-14	CARE	AAA	D	17-Sep-2018
18	9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	10.00	01-Aug-14	CARE	AAA	D	17-Sep-2018
19	9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	10.00	20-Aug-14	CARE	AAA	D	17-Sep-2018
20	9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	25.00	31-Dec-14	CARE	AAA	D	17-Sep-2018
21	8.90% IDFC FIRST BANK LIMITED 2019	ICTD	5.00	14-Jul-16	ICRA	AAA	AA	21-May-2019
22	8.65% IDFC FIRST BANK LIMITED 2020	ICTD	5.00	15-Dec-15	ICRA	AAA	AA	21-May-2019
23	8.63% IDFC FIRST BANK LIMITED 2020	EPBT	15.00	15-Dec-15	ICRA	AAA	AA	21-May-2019
24	10.30% YES BANK LIMITED 2021	ORAD	5.00	25-Jul-11	ICRA	AA+	AA-	3-May-2019
25	9.30% YES BANK LIMITED 2020	ORAD	5.00	09-Jan-13	ICRA	AA+	AA-	3-May-2019
26	9.65% YES BANK LIMITED 2020	ORAD	5.00	21-Nov-14	ICRA	AA+	AA-	3-May-2019
27	9.90% YES BANK LIMITED 2022	ORAD	25.00	25-Apr-13	ICRA	AA+	AA-	3-May-2019
28	10.25% AMTEK AUTO LTD 2015	OLDB	5.00	23-May-13	ICRA	AA	D	20-May-2015
29	8.94% CANFIN HOMES LTD 2024	HTDN	10.00	05-Mar-15	ICRA	AAA	AA+	6-May-2019
30	7.89% CANFIN HOMES LTD 2022	HTDN	15.00	29-Aug-17	ICRA	AAA	AA+	6-May-2019

Dated: August 07, 2019

<https://www.ecgc.in>

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04