

## FORM NL-37-DOWN GRADING OF INVESTMENT-2

Company Name &amp; Code: ECGC LTD (124)

Statement as on: September 30, 2017

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Face Value'Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<b><u>During the Quarter '1</u></b>								
1	8.60% STEEL AUTHORITY OF INDIA LTD 2019	OBPT	10.00	3/Feb/2010	INDIA RATING	AA+	AA-	2/Aug/2017	
2	8.75% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	15.00	29/Apr/2010	INDIA RATING	AA+	AA-	2/Aug/2017	
3	8.72% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	10.00	30/Apr/2010	INDIA RATING	AA+	AA-	2/Aug/2017	
4	9.35% STEEL AUTHORITY OF INDIA LTD 2026	OBPT	5.00	20/Sep/2011	INDIA RATING	AA+	AA-	2/Aug/2017	
5	9.30% STEEL AUTHORITY OF INDIA LTD 2018	OBPT	5.00	4/Jun/2012	INDIA RATING	AA+	AA-	2/Aug/2017	
	<b><u>As on Date</u></b>								
1	10.10% Tata Power Co. Ltd 2018	IODS	15.00	24/Apr/2008	CRISIL	AA	AA-	17/Oct/2013	
2	9.40% Tata Power Co. Ltd 2022	IODS	5.00	9/Apr/2013	CRISIL	AA	AA-	17/Oct/2013	
3	9.35% Central Bank of India 2018	OBPT	5.00	10/Feb/2009	CARE	AAA	A	30/Mar/2017	
4	9.25% IPCA LABORATORIES LTD 2017	OLDB	2.50	20/Dec/2012	CRISIL	AA	AA-	28/Jun/2016	
5	8.60% STEEL AUTHORITY OF INDIA LTD 2019	OBPT	10.00	3/Feb/2010	CRISIL	AA+	AA-	2/Aug/2017	
6	8.75% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	15.00	29/Apr/2010	CRISIL	AA+	AA-	2/Aug/2017	
7	8.72% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	10.00	30/Apr/2010	CRISIL	AA+	AA-	2/Aug/2017	
8	9.35% STEEL AUTHORITY OF INDIA LTD 2026	OBPT	5.00	20/Sep/2011	CRISIL	AA+	AA-	2/Aug/2017	
9	9.30% STEEL AUTHORITY OF INDIA LTD 2018	OBPT	5.00	4/Jun/2012	CRISIL	AA+	AA-	2/Aug/2017	
10	8.93% Oriental Bank of Commerce	OBPT	5.00	5-Dec-12	ICRA	AA	AA-	31/May/2017	
11	8.53% IDBI Bank 2019	OBPT	10.00	23-Nov-09	CRISIL	AA	A+	23/May/2017	

Dated: November 14, 2017

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04