

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Company Name & Code: ECGC LTD (124)

Statement as on: September 30, 2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. crores

No	Name of the Security	COI	Face Value/Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
B.	<u>As on Date</u>								
2	10.10% Tata Power Co. Ltd 2018	IODS	15.00	24-Apr-08	CRISIL	AA	AA-	17-Oct-13	
3	9.40% Tata Power Co. Ltd 2022	IODS	5.00	9-Apr-13	CRISIL	AA	AA-	17-Oct-13	
4	9.55% Hindalco Ltd 2022	OLDB	5.00	30-Apr-12	CRISIL	AA+	AA	20-Jun-14	
5	9.60% Hindalco Ltd 2022	OLDB	5.00	5-Sep-12	ICRA	AA+	AA	20-Jun-14	
6	9.20% Central Bank of India 2017	OBPT	5.00	3-Mar-08	CRISIL	AAA	AA-	14-Oct-14	
7	9.35% Central Bank of India 2018	OBPT	5.00	10-Feb-09	CRISIL	AAA	AA-	14-Oct-14	
8	9.40% Vedanta Ltd.	EPBT	5.00	30-Oct-12	CRISIL	AA+	AA-	27-Jan-16	
9	9.17% STERLITE INDUSTRIES (I) LTD 2023	EPBT	5.00	9-Jul-13	CRISIL	AA+	AA-	27-Jan-16	
10	9.25% IPCA LABORATORIES LTD 2017	OLDB	2.50	20-Dec-12	CRISIL	AA	AA-	28-Jun-16	
11	8.60% STEEL AUTHORITY OF INDIA LTD 2019	EBPT	10.00	3-Feb-10	CARE	AAA	AA+	16-Mar-16	
12	8.75% STEEL AUTHORITY OF INDIA LTD 2020	EBPT	15.00	29-Apr-10	CARE	AAA	AA+	16-Mar-16	
13	8.72% STEEL AUTHORITY OF INDIA LTD 2020	EBPT	10.00	3-May-10	CARE	AAA	AA+	16-Mar-16	
14	9.35% STEEL AUTHORITY OF INDIA LTD 2026	EBPT	5.00	20-Sep-11	CARE	AAA	AA+	16-Mar-16	
15	9.30% STEEL AUTHORITY OF INDIA LTD 2018	EBPT	5.00	4-Jun-12	CARE	AAA	AA+	16-Mar-16	
16	9.18% STEEL AUTHORITY OF INDIA LTD 2017	EBPT	5.00	04-09-12	CARE	AAA	AA+	16-Mar-16	
17	8.80% BIRLA CORPORATION LTD 2017	EBPT	15.00	6-Feb-10	CRISIL	AAA	AA+	9-Mar-15	
18	8.53% IDBI BANK LTD 2019	EBPT	10.00	23-Nov-09	CARE	AAA	AA	21-Mar-16	
19	8.93% ORIENTAL BANK OF COMMERCE LTD 2022	EBPT	5.00	5-Dec-12	ICRA	AA+	AA	19-Feb-16	
20	10.40% TATA STEEL LTD 2019	EBPT	10.00	15-May-09	CARE	AAA	AA+	20-Oct-14	
21	9.15% TATA STEEL LTD 2021	EBPT	10.00	30-Jan-13	CARE	AAA	AA+	20-Oct-14	
22	9.90% INDIAN HOTELS COMPANY LTD 2017	EBPT	5.00	1-Mar-12	ICRA	AA+	AA	14-Sep-12	

Dated: November 11, 2016

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04